

DOCKETED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,778	03/29/2001	Chengda Yang	1748/110

002101

BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618

RECEIVED

MAY 16 2001

BROMBERG &amp; SUNSTEIN

CONFIRMATION NO. 5517

FORMALITIES LETTER



\*OC000000006056294\*

Date Mailed: 05/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$858.
  - \$378 for 21 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1698.


The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/13/2001 14:01:11 00000000 194972 05821778  
01 FC:202 240.00 CH355.00 CH  
189.00 CH  
65.00 CH01 FC:201  
02 FC:203  
03 FC:205

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

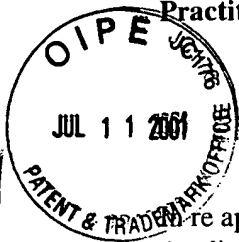
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Practitioner's Docket No. 1748/110

PATENT

\$ sector  
#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Yang et al.  
Application No.: 09/821,778  
Filed: March 29, 2001  
For: FORMAT CONVERSION

Group No.:  
Examiner:

Box Missing Part  
Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 9, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

SMALL ENTITY STATUS

---

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

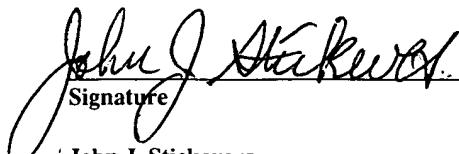
MAILING

[X] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: July 9, 2001

  
Signature  
John J. Stickevers  
(type or print name of person certifying)

**III.** A statement that this filing is by a small entity is attached.

#### **COMPLETION FEES**

**IV.**

**1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
--	----------

**2. Fees for Claims**

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$240.00
--	----------

Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$189.00
---	----------

**3. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
--	---------

4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
---	---------

Total Completion Fees	\$889.00
-----------------------	----------

#### **EXTENSION OF TIME**

**V.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### **TOTAL FEE DUE**

**VI.** The total fee due is:

Completion fees	\$889.00
Extension fee (if any)	\$0.00
 Total Fee Due	 \$889.00

#### **PAYMENT OF FEES**

**VII.** Charge Account No. 19-4972 in the amount of 889.00.

A duplicate of this request is attached.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

#### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**VIII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

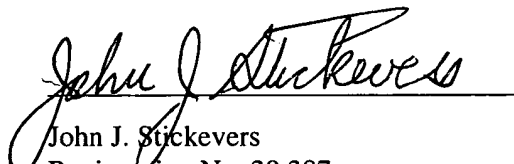
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

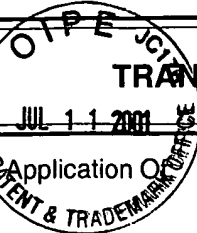
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: July 9, 2001

  
John J. Stickevers  
Registration No. 39,387  
Bromberg & Sunstein LLP  
125 Summer Street  
Boston, MA 02110  
USA  
617-443-9292  
Customer No. 002101



**TRANSMITTAL OF FORMAL DRAWINGS**

Docket No.  
1748/110

In Re Application Of Yang et al.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/821,778	March 29, 2001	Not yet assigned	Not yet assigned	Not yet assigned

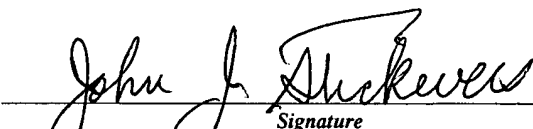
Invention: **FORMAT CONVERSION**

Address to:  
Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

12 sheets of formal drawing(s) for this application.

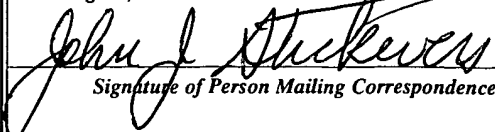
Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

  
Signature

John J. Stickevers  
Registration No. 39,387  
BROMBERG & SUNSTEIN LLP  
125 Summer Street  
Boston, MA 02110-1618  
(617) 443-9292

Dated: **July 9, 2001**

I certify that this document and attached formal drawings are being deposited on **7/9/01** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**John J. Stickevers**

Typed or Printed Name of Person Mailing Correspondence